

Adaptive synchronization of hyperchaotic Chen system with uncertain parameters

Ju H. Park

*Robust Control and Nonlinear Dynamics Laboratory, Department of Electrical Engineering, Yeungnam University,
214-1 Dae-Dong, Kyongsan 712-749, Republic of Korea*

With my cordial regards, this paper is dedicated to K.S. Gang on the occasion of her 85th birthday

Abstract

This article addresses control for the chaos synchronization of hyperchaotic Chen system with five uncertain parameters. Based on the Lyapunov stability theory, an adaptive control law is derived to make the states of two identical hyperchaotic Chen systems asymptotically synchronized. Finally, a numerical simulations is presented to show the effectiveness of the proposed chaos synchronization scheme.

© 2005 Elsevier Ltd. All rights reserved.

1. Introduction

Since Pecora and Carroll [1] introduced a method to synchronization two identical chaotic systems with different initial conditions, chaos synchronization have attracted a great deal of attention from various fields during the last three decades [2–13]. The idea of synchronization is to use the output of the master system to control the slave system so that the output of the response system follows the output of the master system asymptotically. Many methods and techniques for handling chaos control and synchronization have been developed, such as PC method [1], OGY method [3], feedback approach [6,7], adaptive method [14], time-delay feedback approach [5], and backstepping design technique [10], etc. On the other hand, when the chaotic systems have some uncertain parameters, it is generally difficult to control the system. In this case, it is well known that the adaptive control scheme is an effective method for the synchronization. In this regard, the adaptive feedback synchronization for several chaotic systems has been investigated by Wang et al. [14], Han et al. [16], Elabbasy et al. [17], Lu et al. [15], and Park [18].

Recently, because the presence of more than positive Lyapunov exponent clearly improves security by generating more complex dynamics, synchronization schemes of hyperchaotic systems have been investigated [19–21].

In this article, we consider the problem of chaos synchronization of hyperchaotic Chen system with uncertain parameters. For chaotic synchronization of the uncertain hyperchaotic system, a class of novel adaptive control scheme is proposed. Then, chaos synchronization of the system is proved by the Lyapunov stability theory.

Throughout the article, $\text{diag}\{\dots\}$ denotes the diagonal matrix. $\|\cdot\|$ denotes Euclidean norm of given vector. The organization of this article is as follows. In Section 2, the problem statement and master-slave synchronization scheme

E-mail address: jessie@yu.ac.kr

are presented for the hyperchaotic Chen system. In Section 3, we provide a numerical example to demonstrate the effectiveness of the proposed method. Finally concluding remark is given.

2. Chaos synchronization of hyperchaotic Chen system

The hyperchaotic Chen system [21] is given by

$$\begin{cases} \dot{x} = a(y - x) + w, \\ \dot{y} = dx - xz + cy, \\ \dot{z} = xy - bz, \\ \dot{w} = yz + rw, \end{cases} \quad (1)$$

where x, y, z , and w are state variables, and a, b, c, d and r are the real constants.

When $a = 35, b = 3, c = 12, d = 7$, and $0 \leq r \leq 0.085$, the system (1) is chaotic; when $a = 35, b = 3, c = 124, d = 7$, and $0.085 < r \leq 0.798$, the system (1) is hyperchaotic; when $a = 35, b = 3, c = 12, d = 7$, and $0.798 < r \leq 0.90$, the system (1) is periodic [20]. For the projections of hyperchaotic attractor of the system, see the papers [20,21]. Also, it is shown that when $a = 35, b = 3, c = 12, d = 7$, and $0.085 < r \leq 0.798$, the hyperchaotic Chen system (1) only has one equilibrium $\mathcal{O}(0.0.0.0)$, and is a forced dissipative system, which implies that the solutions of the system are bounded as $t \rightarrow \infty$.

Now, we assume that we have two hyperchaotic Chen systems where the master system with the subscript m drives the slave system having identical equations denoted by the subscript s . For the systems (1), the master (or drive) and slave (or response) systems are defined below, respectively,

$$\begin{cases} \dot{x}_m = a(y_m - x_m) + w_m, \\ \dot{y}_m = dx_m - x_m z_m + cy_m, \\ \dot{z}_m = x_m y_m - bz_m, \\ \dot{w}_m = y_m z_m + rw_m, \end{cases} \quad (2)$$

and

$$\begin{cases} \dot{x}_s = a_1(y_s - x_s) + w_s + u_1, \\ \dot{y}_s = d_1 x_s - x_s z_s + c_1 y_s + u_2, \\ \dot{z}_s = x_s y_s - b_1 z_s + u_3, \\ \dot{w}_s = y_s z_s + r_1 w_s + u_4, \end{cases} \quad (3)$$

where a_1, b_1, c_1, d_1 and r_1 are parameters of the slave system which needs to be estimated, and u_1, u_2, u_3 and u_4 are the nonlinear controller such that two hyperchaotic systems can be synchronized.

Subtracting Eq. (2) from Eq. (3) yields error dynamical system between Eqs. (2) and (3)

$$\begin{cases} \dot{e}_1(t) = a_1(y_s - x_s) - a(y_m - x_m) + e_4 + u_1, \\ \dot{e}_2(t) = d_1 x_s - dx_m - x_s z_s + x_m z_m + c_1 y_s - cy_m + u_2, \\ \dot{e}_3(t) = x_s y_s - x_m y_m - b_1 z_s + bz_m + u_3, \\ \dot{e}_4(t) = y_s z_s - y_m z_m + r_1 w_s - rw_m + u_4, \end{cases} \quad (4)$$

where

$$\begin{cases} e_1(t) = x_s(t) - x_m(t), \\ e_2(t) = y_s(t) - y_m(t), \\ e_3(t) = z_s(t) - z_m(t), \\ e_4(t) = w_s(t) - w_m(t). \end{cases} \quad (5)$$

Here, our goal is to make synchronization between two hyperchaotic Chen systems by using adaptive control scheme $u_i, i = 1, 2, 3, 4$ when the parameter of the drive system is unknown and different with those of the response system, i.e.,

$$\lim_{t \rightarrow \infty} \|e(t)\| = 0,$$

where $e = [e_1 \ e_2 \ e_3 \ e_4]^T$.

For two hyperchaotic Chen systems without control ($u_i = 0, i = 1, 2, 3, 4$), if the initial condition $(x_m(0), y_m(0), z_m(0), w_m(0)) \neq (x_s(0), y_s(0), z_s(0), w_s(0))$, the trajectories of the two identical systems will quickly separate

each other and become irrelevant. However, for the two-controlled hyperchaotic Chen systems, the two systems will approach synchronization for any initial condition by appropriate control gain. For this end, we propose the following control law for the system (3):

$$\begin{aligned} u_1 &= -(k_1 - a_1)e_1 - (a_1 + d_1 - z_s)e_2 - e_4, \\ u_2 &= -(k_2 + c_1)e_2 - e_1e_3, \\ u_3 &= -(k_3 - b_1)e_3 + y_me_1, \\ u_4 &= -(k_4 - r_1)e_4 - y_se_3 - z_me_2, \end{aligned} \quad (6)$$

where k_i are the positive scalars, and the update rule for five unknown parameters a , b , c , d and r :

$$\begin{aligned} \dot{a}_1 &= -(y_m - x_m)e_1, \\ \dot{b}_1 &= z_me_3, \\ \dot{c}_1 &= -y_me_2, \\ \dot{d}_1 &= -x_me_2, \\ \dot{r}_1 &= -w_me_4. \end{aligned} \quad (7)$$

Then, we have the following main result.

Theorem. For any initial conditions, the two systems (2) and (3) are globally asymptotically synchronized by the control law (6) and the update law (7).

Proof. Choose the following Lyapunov candidate:

$$V = \frac{1}{2}(e_1^2 + e_2^2 + e_3^2 + e_a^2 + e_b^2 + e_c^2 + e_d^2 + e_r^2), \quad (8)$$

where $e_a = a_1 - a$, $e_b = b_1 - b$, $e_c = c_1 - c$, $e_d = d_1 - d$, and $e_r = r_1 - r$.

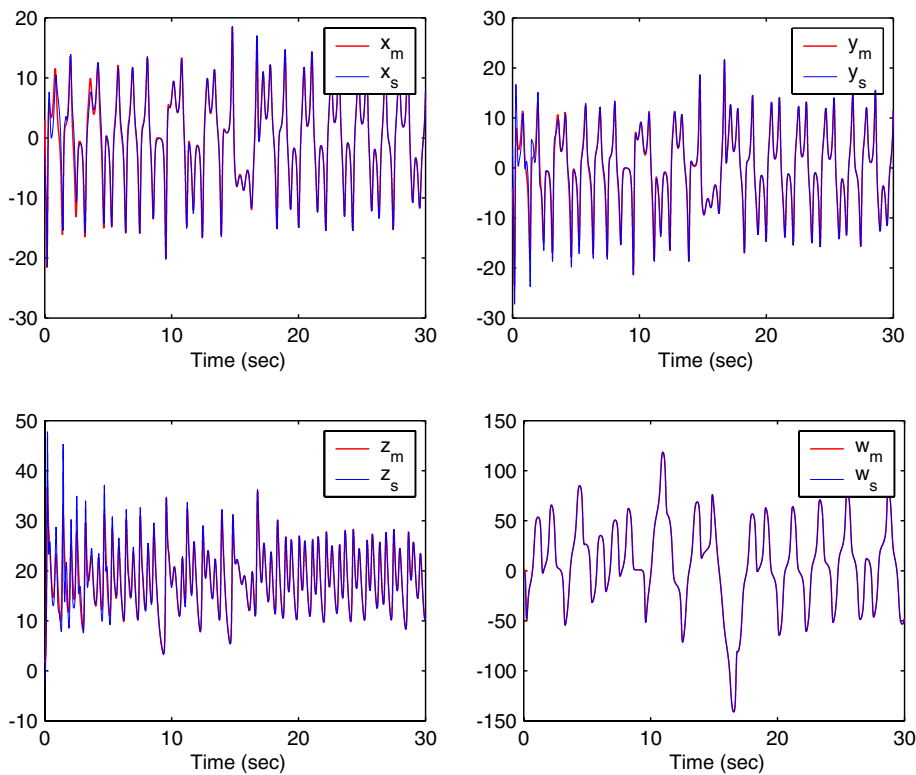


Fig. 1. State trajectories of master and slave systems.

The differential of the Lyapunov function along the trajectory of error system (4) is

$$\begin{aligned} \frac{dV}{dt} &= \dot{e}_1 e_1 + \dot{e}_2 e_2 + \dot{e}_3 e_3 + \dot{e}_a e_a + \dot{e}_b e_b + \dot{e}_c e_c + \dot{e}_d e_d + \dot{e}_r e_r \\ &= e_1 [a_1(y_s - x_s) - a(y_m - x_m) + e_4 + u_1] + e_2 [d_1 x_s - dx_m - x_s z_s + x_m z_m + c_1 y_s - c y_m + u_2] \\ &\quad + e_3 [x_s y_s - x_m y_m - b_1 z_s + b z_m + u_3] + e_4 [y_s z_s - y_m z_m + r_1 w_s - r w_m + u_4] + \dot{e}_a (a_1 - a) + \dot{e}_b (b_1 - b) \\ &\quad + \dot{e}_c (c_1 - c) + \dot{e}_d (d_1 - d) + \dot{e}_r (r_1 - r). \end{aligned} \quad (9)$$

Here, note that

$$\begin{aligned} -x_s z_s + x_m z_m &= -z_s e_1 - x_m e_3, \\ x_s y_s - x_m y_m &= x_s e_2 - y_m e_1, \\ y_s z_s - y_m z_m &= y_s e_3 + z_m e_2. \end{aligned} \quad (10)$$

Substituting Eqs. (7) and (10) into Eq. (9) give that

$$\begin{aligned} \frac{dV}{dt} &= -a_1 e_1^2 + (a_1 + d_1 - z_s) e_1 e_2 + e_1 e_4 + e_1 u_1 + c_1 e_2^2 + e_2 u_2 - y_m e_1 e_3 - b_1 e_3^2 + e_3 u_3 + y_s e_3 e_4 + z_m e_2 e_4 \\ &\quad + r_1 e_4^2 + e_4 u_4 + e_1 e_2 e_3. \end{aligned} \quad (11)$$

Again, substituting Eq. (6) into Eq. (11) gives that

$$\frac{dV}{dt} = -k_1 e_1^2 - k_2 e_2^2 - k_3 e_3^2 - k_4 e_4^2 = -e^T P e, \quad (12)$$

where $P = \text{diag}\{k_1, k_2, k_3, k_4\}$.

Since $\dot{V}$ is negative semidefinite, we cannot immediately obtain that the origin of error system (5) is asymptotically stable. In fact, as $\dot{V} \leq 0$, then $e_1, e_2, e_3, e_4 \in \mathcal{L}_\infty$ and $e_a, e_b, e_c, e_d, e_r \in \mathcal{L}_\infty$. From the error system (4), we have $\dot{e}_1, \dot{e}_2, \dot{e}_3, \dot{e}_4 \in \mathcal{L}_\infty$. Since $\dot{V} = -e^T P e$, then we have

$$\int_0^t \lambda_{\min}(P) \|e\|^2 dt \leq \int_0^t e^T P e dt \leq \int_0^t -\dot{V} dt = V(0) - V(t) \leq V(0),$$

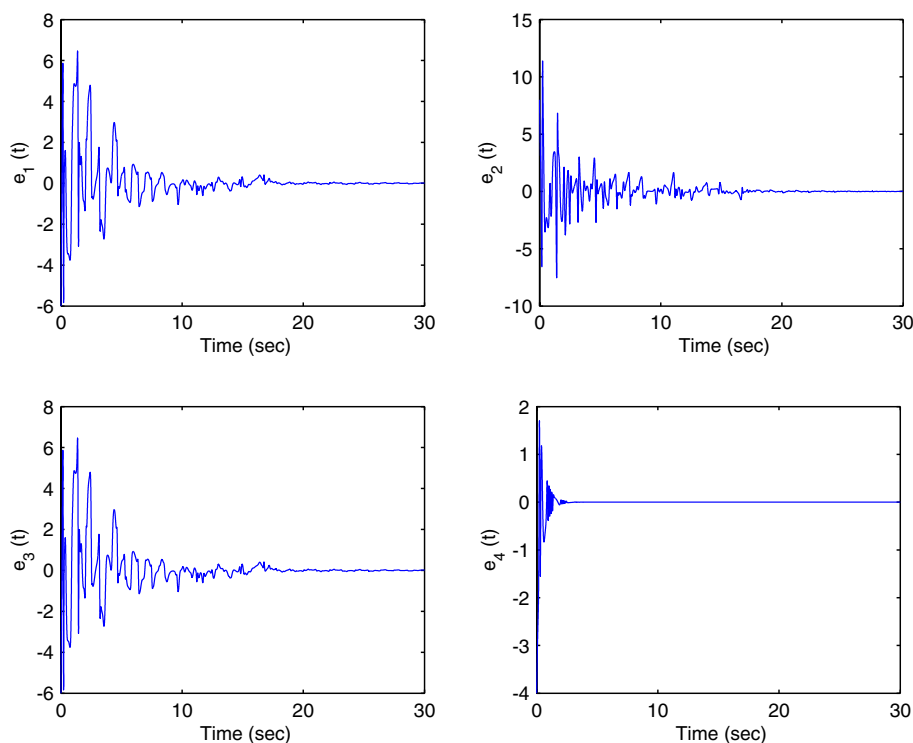


Fig. 2. Synchronization errors, e_1, e_2, e_3, e_4 with time t .

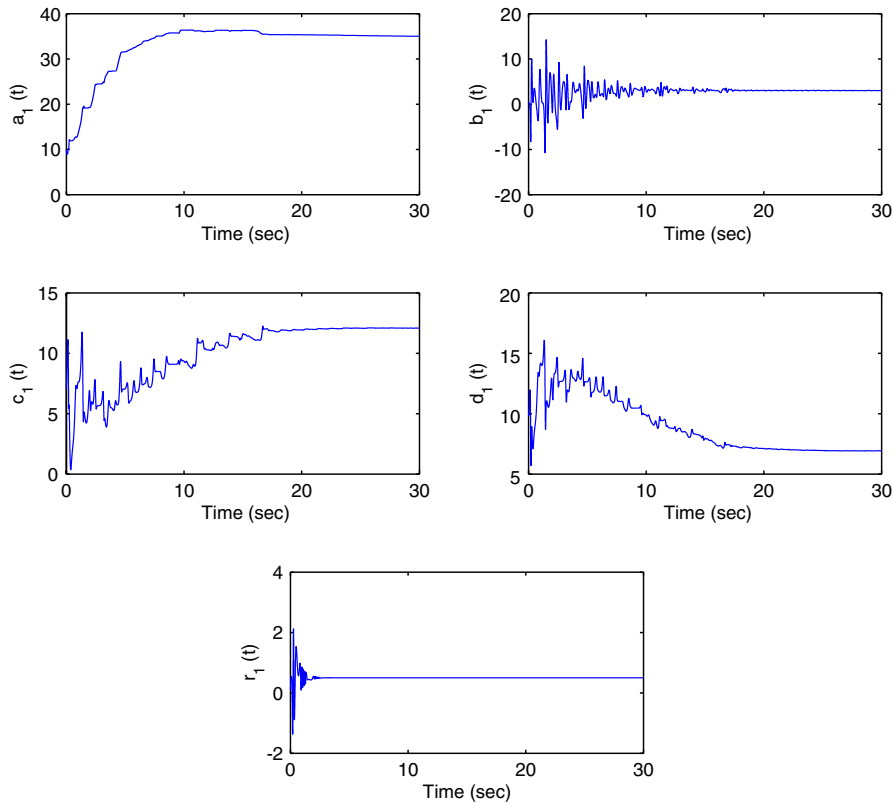


Fig. 3. Changing parameters a_1 , b_1 , c_1 , d_1 , r_1 of response system with time t .

where $\lambda_{\min}(P)$ is the minimum eigenvalue of positive-definite matrix P . Thus $e_1, e_2, e_3, e_4 \in \mathcal{L}_2$. According to the Barbalat's lemma, we have $e_1(t), e_2(t), e_3(t), e_4(t) \rightarrow 0$ as $t \rightarrow \infty$, i.e., $\lim_{t \rightarrow \infty} \|e(t)\| = 0$. Therefore, the slave system (3) synchronizes the master system (2) by the controller (6). This completes the proof. $\square$

3. Numerical example

In this section, to verify and demonstrate the effectiveness of the proposed method, we discuss the simulation result for hyperchaotic Chen system. In the numerical simulations, the fourth-order Runge-Kutta method is used to solve the systems with time step size 0.001.

For this numerical simulation, we assume that the initial condition, $(x_m(0), y_m(0), z_m(0), w_m(0)) = (3, -4, 2, 2)$, and $(x_s(0), y_s(0), z_s(0), w_s(0)) = (-3, 4, -2, -2)$ and control gains, $(k_1, k_2, k_3, k_4) = (7, 5, 5, 5)$ are employed. Hence the error system has the initial values $e_1(0) = -3$, $e_2(0) = 8$, $e_3(0) = -4$, and $e_4(0) = -4$. The five unknown parameters are chosen as $a = 35$, $b = 3$, $c = 12$, $d = 7$ and $r = 0.5$ in simulations so that the system (1) exhibits a hyperchaotic behavior. Synchronization of the systems (2) and (3) via adaptive control law (6) and (7) with the initial estimated parameters $a_1(0) = 10$, $b_1(0) = 0.5$, $c_1(0) = 7$, $d_1(0) = 10$ and $r_1(0) = 0.1$ are shown in Figs. 1–3. Figs. 1 and 2 display the state response and synchronization errors of systems (2), (3). Fig. 3 shows that the estimates $a_1(t)$, $b_1(t)$, $c_1(t)$, $d_1(t)$, $r_1(t)$ of the unknown parameters converges to $a = 35$, $b = 3$, $c = 12$, $d = 7$ and $r = 0.5$ as $t \rightarrow \infty$.

4. Concluding remark

In this article, we investigate the synchronization of controlled hyperchaotic Chen chaotic systems with five uncertain parameters. We have proposed a novel adaptive nonlinear control scheme for asymptotic chaos synchronization

using the Lyapunov stability theory. Finally, a numerical simulation is provided to show the effectiveness of the method proposed in this work.

References

- [1] Pecora LM, Carroll TL. Synchronization in chaotic systems. *Phys Rev Lett* 1990;64:821–4.
- [2] Chen G, Dong X. From chaos to order. Singapore: World Scientific; 1998.
- [3] Ott E, Grebogi C, Yorke JA. Controlling chaos. *Phys Rev Lett* 1990;64:1196–9.
- [4] Chen G. Chaos on some controllability conditions for chaotic dynamics control. *Chaos, Solitons & Fractals* 1997;8:1461–70.
- [5] Park JH, Kwon OM. LMI optimization approach to stabilization of time-delay chaotic systems. *Chaos, Solitons & Fractals* 2005;23:445–50.
- [6] Hwang CC, Hsieh JY, Lin RS. A linear continuous feedback control of Chua's circuit. *Chaos, Solitons & Fractals* 1997;8:1507–15.
- [7] Lu JH, Lu JA. Controlling uncertain Lü system using linear feedback. *Chaos, Solitons & Fractals* 2003;17:127–33.
- [8] Chen M, Han Z. Controlling and synchronizing chaotic Genesio system via nonlinear feedback control. *Chaos, Solitons & Fractals* 2003;17:709–16.
- [9] Lu J, Wu X, Lü J. Synchronization of a unified chaotic system and the application in secure communication. *Phys Lett A* 2002;305:365–70.
- [10] Wu X, Lu J. Parameter identification and backstepping control of uncertain Lü system. *Chaos, Solitons & Fractals* 2003;18:721–9.
- [11] Li D, Lu JA, Wu X. Linearly coupled synchronization of the unified chaotic systems and the Lorenz systems. *Chaos, Solitons & Fractals* 2005;23:79–85.
- [12] Lü J, Zhou T, Zhang S. Chaos synchronization between linearly coupled chaotic systems. *Chaos, Solitons & Fractals* 2002;14:529–41.
- [13] Park JH. Stability criterion for synchronization of linearly coupled unified chaotic systems. *Chaos, Solitons & Fractals* 2005;23:1319–25.
- [14] Wang Y, Guan ZH, Wang HO. Feedback an adaptive control for the synchronization of Chen system via a single variable. *Phys Lett A* 2003;312:34–40.
- [15] Lu J, Wu X, Han X, Lü J. Adaptive feedback synchronization of a unified chaotic system. *Phys Lett A* 2004;329:327–33.
- [16] Han X, Lu JA, Wu X. Adaptive feedback synchronization of Lü systems. *Chaos, Solitons & Fractals* 2004;22:221–7.
- [17] Elabbasy EM, Agiza HN, El-Dessoky MM. Adaptive synchronization of Lü system with uncertain parameters. *Chaos, Solitons & Fractals* 2004;21:657–67.
- [18] Park JH. Adaptive synchronization of a unified chaotic system with an uncertain parameter. *Int J Nonlinear Sci Numer Simulat* 2005;6:201–6.
- [19] Li C, Lia X. Complete and lag synchronization of hyperchaotic systems using small impulses. *Chaos, Solitons & Fractals* 2004;22:857–67.
- [20] Yan Z. Controlling hyperchaos in the new hyperchaotic Chen system. *Appl Math Comput* (in press), doi:10.1016/j.amc.2004.10.016.
- [21] Li Y, Tang WKS, Chen G. Generating hyperchaos via state feedback control. *Int J Bifur Chaos* (in press).